



PTO/SB/08a/b (08-03)
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Substitute for form 1449A/B/PTO		Complete If Known			
		Application Number	10/015,070-Conf. #9467		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Filing Date	December 11, 2001		
		First Named Inventor	L. Michael Maritzen		
		Art Unit	3621		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-034

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AK	US-5,056,019	10-08-1991	Schultz, et al.	
	AG	US-5,710,887	01-20-1998	Chelliah, et al.	
	AD	US-5,734,838	03-31-1998	Robinson, et al.	
	AH	US-5,884,271	03-16-1999	Pitroda	
	AA	US-5,897,622	04-27-1999	Blinn, et al.	
	AC	US-5,923,016	07-13-1999	Fredregill, et al.	
	AF	US-5,948,040	09-07-1999	DeLorme, et al.	
	AJ	US-6,009,415	12-28-1999	Shurling, et al.	
	AE	US-6,012,039	01-04-2000	Hoffman, et al.	
	AB	US-6,018,724	01-25-2000	Arent	
	AM	US-6,023,679	02-08-2000	Acebo, et al.	
	AI	US-6,119,096	09-12-2000	Mann, et al.	
	AL	US-6,138,911	10-31-2000	Fredregill, et al.	
	AN	US-6,163,772	12-19-2000	Kramer, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ³
		Country Code ⁴ -Number ⁴ -Kind Code ⁴ (if known)	MM-DD-YYYY			

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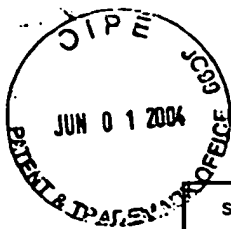
NON PATENT LITERATURE DOCUMENTS			
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CA	AA	US-6,006,200	12-21-1999	Boies et al.	

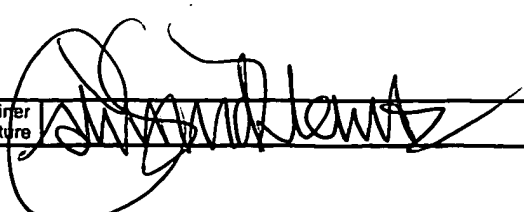
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
CA	BA	EP 0917119	05-19-1999			

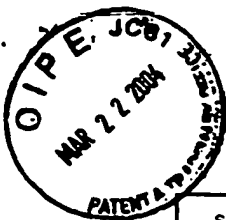
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	CA		

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Sheet	1	of	1	

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	AA	US-5,329,589	07-12-1994	Fraser et al.	

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		First Named Inventor	L. Michael Maritzen		
		Art Unit	2161		
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		Number-Kind Code ² (if known)			
	AA	US-5,956,699	09-21-1999	Wong et al.	
	AB	US-6,592,044	07-15-2003	Wong et al.	

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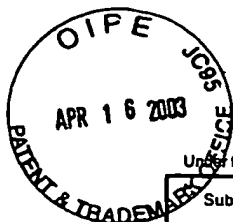
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Examiner Signature		Date Considered	9/18/04
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Substitute for form 1448A/PTO			Complete If Known		
			Application Number	10/015,070	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	December 11, 2001	
			First Named Inventor	L. Michael Maritzen	
			Art Unit	2161	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-034

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U.S. PATENT DOCUMENTS					
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		Number-Kind Code ² (if known)			
	AA	6,047,269	04-02-2000	Biffar	
	AB	6,529,885	03-04-2003	Johnson	

FOREIGN PATENT DOCUMENTS						
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			Art Unit	3621	
Examiner Name	Not Yet Assigned				
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	5,557,541-	09-17-1996	Schulhof et al.	
	AB	5,778,068-	07-07-1998	Johnson et al.	
	AC	5,825,876-	10-20-1998	Peterson, Jr.	
	AD	5,892,900-	04-06-1999	Ginter et al.	
	AE	5,926,624-	07-20-1999	Katz et al.	
	AF	6,016,476-	01-18-2000	Maes et al.	
	AG	6,141,754-	10-31-2000	Choy	
	AH	6,226,618-	05-01-2001	Downs et al.	
	AI	6,282,653-	08-28-2001	Berstis et al.	
	AJ	6,366,953-	04-02-2002	Inoue	
	AK	6,370,629-	04-09-2002	Hastings et al.	
	AL	6,434,535-	08-13-2002	Kupka et al.	

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9	AA	5,557,518-	09-17-1996	Rosen	
	AB	5,761,308-	06-02-1998	Torii et al.	
	AC	5,943,423-	08-24-1999	Muftic	
	AD	6,029,150-	02-22-2000	Kravitz	

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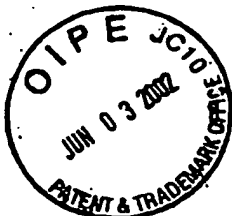
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Examiner Signature 397943_1.BOC		Date Considered	9/8/04
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a	AA	5,737,701 /	04/07/98	Rosenthal et al.	
	AB	6,105,010 /	08/15/00	Musgrave	
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	AD				
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	AF				
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FOREIGN PATENT DOCUMENTS						
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	BA	WO 99/06928	02/11/99			

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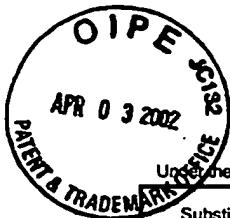
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	CA	DRURY; Demand Jumps For High-Tech ID Producer; Business First; 22 October 2001; Vol. 18, No. 3, page 1.	
	CB		
	CC		

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**INFORMATION DISCLOSURE
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Examiner Name	Not Yet Assigned

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	U.S. Patent Application No. 10/014,112, filed December 11, 2001, entitled "System And Method For Conducting Secure Transactions Over A Network".	
	CB	U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method And System For Executing Deferred Transactions".	
	CC	U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	

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